

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hughes Marchand et al	Examiner:	Matthew J. Song
Serial No.:	09/922,122	Group Art:	1765
Filing Date:	August 3, 2001	Docket:	G&C 30794.79-US-U1
Title:	METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS DEPOSITED ON SUBSTRATES		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on January 13, 2004.

By: 
Name: Bradley K. Lott

REQUEST FOR REFUND UNDER 37 C.F.R. §1.26

MAIL STOP 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On November 18, 2003, a Petition for Extension of Time under 37 C.F.R. 1.136 for 1 month was fax-filed to the U.S. Patent and Trademark Office for the instant patent application, requesting a charge of the Extension Fee in the amount of \$55.00 to Deposit Account No. 50-0494. In addition, an Information Disclosure Statement was also fax-filed, requesting a charge of the I.D.S. filing fee of \$180.00 to Deposit Account No. 50-0494.

On November 26, 2003, \$55.00 was charged under Fee Code 2251, for the Extension Fee, to Deposit Account No. 50-9494 AND \$130.00 was erroneously charged under Fee Code 1460 (Petitions to the Commissioner, unless otherwise specified) to Deposit Account No. 50-0494. A copy of the November 2003 Deposit Account 50-0494 Statement is enclosed.

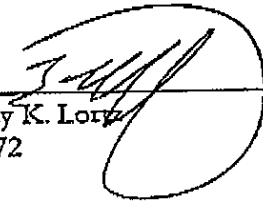
In view of the foregoing circumstances, it is respectfully requested that the U.S. Patent and Trademark Office credit a refund of \$130.00 to Deposit Account No. 50-0494 of Gates & Cooper LLP, for the error. A duplicate copy of this communication is enclosed.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: January 13, 2004

By: 
Name: Bradley K. Lory
Reg. No. 45,472

Gates & Cooper LLPHoward Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045**FAX TRANSMISSION TO USPTO****RECEIVED**
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TO: Director of the U.S. Patent and
Trademark Office
MAIL STOP 16
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450FROM: Bradley K. Lortz

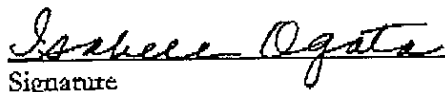
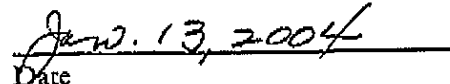
OUR REF.: G&C 30794.79-US-U1
TELEPHONE: (310) 642-4149Total pages, including cover letter: 14**PTO FAX NUMBER: 703/872-9306**If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at
(310) 641-8798.

Title of Document Transmitted:	REQUEST FOR REFUND UNDER 37 C.F.R. 1.26 (in duplicate) with copy of November 2003 Deposit Account Statement, and Copies of November 18, 2003: Transmittal Sheet, Petition for Extension of Time, and Information Disclosure Statement under 37 C.F.R. 1.97(d).
Applicant:	Hugues Marchand et al.
Serial No.:	09/922,122
Filed:	August 3, 2001
Group Art Unit:	1765
Our Ref. No.:	G&C 30794.79-US-U1

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP

By: Name: Bradley K. Lortz
Reg. No.: 45,472

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Signature
Date

BKL/io

G&C 30794.79-US-U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hughes Marchand et al. Examiner: Matthew J. Song
Serial No.: 09/922,122 Group Art Unit: 1765
Filed: August 3, 2001 Docket: G&C 30794.79-US-U1
Title: METHOD OF CONTROLLING STRESS IN GALLIUM NITRIDE FILMS DEPOSITED ON
SUBSTRATES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on January 13, 2004.

By: 

Name: Bradley K. Lortz

MAIL STOP 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Request for Refund under 37 C.F.R. 1.26 (in duplicate) with November 2003 Deposit Account Statement.
- ☒ Copy: Transmittal sheet of November 18, 2003.
- ☒ Copy: Petition for Extension of Time of November 18, 2003.
- ☒ Copy: Information Disclosure Statement under 37 C.F.R. 1.97(d) of November 18, 2003.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all requisite fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Bradley K. Lortz
Reg. No.: 45,472
BKL/fo

G&C 30794.79-US-U1



United States
Patent and
Trademark Office



Deposit Account Statement

Requested Statement Month: November 2003
 Deposit Account Number: 500494
 Name: GATES & COOPER LLP
 Attention:
 Address: 6701 CENTER DRIVE WEST
 City: LOS ANGELES
 State: CA
 Zip: 90045

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/05	153	10623946	G&C 30566.306-US-01	1051	\$130.00	\$28,131.00
11/06	1	09290630	119.1US01	1251	\$110.00	\$28,021.00
11/06	1	09879821	122.3-US-U1	2801	\$385.00	\$27,636.00
11/06	2	09577792	PD-990187	1202	\$36.00	\$27,600.00
11/06	66	10700089	PD-02-0421-B	1001	\$770.00	\$26,830.00
11/07	34	10701029	G&C 30074.50 US-U1	2001	\$385.00	\$26,445.00
11/07	35	10701029	G&C 30074.50 US-U1	2201	\$129.00	\$26,316.00
11/07	36	10701029	G&C 30074.50 US-U1	2202	\$522.00	\$25,794.00
11/07	84	10701490	G&C 30435.148-US-U1	2001	\$385.00	\$25,409.00
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11/10	179	10476770	122.11USWO	2615	\$54.00	\$23,472.00
11/10	184	10476846	122.10USWO	2610	\$385.00	\$23,087.00
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11/12	121	10623429	G&C 30967.11-US-U1	2051	\$65.00	\$22,894.00
11/12	206	PCT/US03/35115	30435148WOU1	1601	\$240.00	\$22,654.00
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11/12	209	PCT/US03/35115	30435148WOU1	1703	\$768.00	\$20,710.00
11/12	210	PCT/US03/35115	30435148WOU1	1707	\$520.00	\$20,190.00
11/12	211	PCT/US03/35115	30435148WOU1	8007	\$20.00	\$20,170.00

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11/13 64	10704393	PD-02-0026	1202	\$378.00	\$18,592.00
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11/13 271	10701490	G&C 30435.148-US-U1	8021	\$40.00	\$18,352.00
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11/14 157	09823522	G&C1426US I1	1504	\$300.00	\$16,153.00
11/14 158	09823522	G&C1426US I1	8001	\$33.00	\$16,120.00
11/14 176	60513100	130.65-US-P1	1807	\$50.00	\$16,070.00
11/14 213	09527104	30566103US01	1501	\$1,330.00	\$14,740.00
11/14 214	09527104	30566103US01	8001	\$12.00	\$14,728.00
11/17 20	60519452	G&C 30958.17-US-P1	2005	\$80.00	\$14,648.00
11/17 28	60519404	G&C 30870.4-US-P1	2005	\$80.00	\$14,568.00
11/17 106	10704999	G&C 30074.51-US-U1	8021	\$40.00	\$14,528.00
11/18 46	PCT/US03/35809	30448112WO01	1601	\$240.00	\$14,288.00
11/18 47	PCT/US03/35809	30448112WO01	1603	\$450.00	\$13,838.00
11/18 48	PCT/US03/35809	30448112WO01	1702	\$476.00	\$13,362.00
11/18 49	PCT/US03/35809	30448112WO01	1707	\$520.00	\$12,842.00
11/18 50	PCT/US03/35809	30448112WO01	8007	\$20.00	\$12,822.00
11/19 43	E-REPLENISHMENT		9203	-\$18,000.00	\$30,822.00
11/19 187	PCT/US03/32921		8021	\$40.00	\$30,782.00
11/19 207	10477501	30435118USWO	2609	\$365.00	\$30,417.00
11/19 208	10477501	30435118USWO	2614	\$215.00	\$30,202.00
11/20 67	60519404	G&C 30870.4-US-P1	8021	\$40.00	\$30,162.00
11/20 70	60488638	G&C 154.7-US-P1	2052	\$25.00	\$30,137.00
11/21 1	09560434	30566.90US01	1801	\$770.00	\$29,367.00
11/21 13	10639134	PD-02-0093A	1051	\$130.00	\$29,237.00
11/21 21	10639135	PD-02-0093B	1051	\$130.00	\$29,107.00
11/21 153	75751788	30958.13US01	6003	\$100.00	\$29,007.00
11/21 417	10639134		8021	\$40.00	\$28,967.00
11/21 530	10639134		8021	\$40.00	\$28,927.00
11/21 613	10639135		8021	\$40.00	\$28,887.00
11/24 534	10639135		8021	\$40.00	\$28,847.00
11/25 19	10610292	G&C 176.6-US-U1	2051	\$65.00	\$28,782.00
11/25 24	10425325	PD-02-0328	8021	\$40.00	\$28,742.00
11/25 48	75625896		6004	\$150.00	\$28,592.00
11/25 262	10610292		8021	\$40.00	\$28,552.00
11/26 1	09534757	30566.79USU1	1401	\$330.00	\$28,222.00
→ 11/26 6	09922122	3079479US-U1	2251	\$55.00	\$28,167.00
→ 11/26 7	09922122	3079479US-U1	1460	\$130.00	\$28,037.00
11/26 33	6408427	G&C 30435.77-US-01	1811	\$100.00	\$27,937.00
11/26 181	09285355		8021	\$40.00	\$27,897.00
11/26 196	PCT/US03/16386	154.4-WO-U1	1605	\$490.00	\$27,407.00
11/26 197	PCT/US03/16386	154.4-WO-U1	1705	\$172.00	\$27,235.00
11/26 495	10478268	30435124USWO	2609	\$365.00	\$26,870.00

11/26 496	10478268	30435124USWO	2615	\$9.00	\$26,861.00
11/26 497	10478268	30435124USWO	2614	\$43.00	\$26,818.00

START
BALANCE

\$28,261.00

SUM OF
CHARGES

\$19,443.00

SUM OF END
REPLENISH BALANCE

\$18,000.00 \$26,818.00

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Due Date: November 18, 2003

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Applicant: Hugues Marchand et al. Examiner: Matthew J. Song
 Serial No.: 09/922,122 Group Art Unit: 1765
 Filed: August 3, 2001 Docket: G&C 30794.79-US-UI
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By: 
 Name: Bradley K. Lortz

MAIL STOP AF
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Amendment Under 37 C.F.R. 1.116.
- ☒ Petition for Extension of Time under 37 C.F.R. 1.136 for 1 month.
- ☒ Charge the Extension Fee in the amount of \$55.00 to the Deposit Account.
- ☒ Supplemental Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).
- ☒ Charge the fee in the amount of \$180.00 for the Information Disclosure Statement to the Deposit Account.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
19	39	0	x \$9.00	= \$0.00
Independent Claims				
1	3	0	x \$43.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

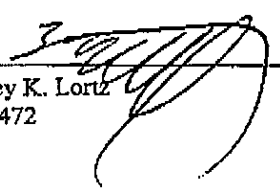
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 6701 Center Drive West, Suite 1050
 Los Angeles, CA 90045
 (310) 641-8797

By: 
 Name: Bradley K. Lortz
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